

INTEVAC INC

Reported by **BLEICHROEDER LP**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 03/27/20 for the Period Ending 03/26/20

Address 3560 BASSETT STREET

SANTA CLARA, CA, 95054

Telephone 4089869888

CIK 0001001902

Symbol IVAC

SIC Code 3559 - Special Industry Machinery, Not Elsewhere Classified

Industry Industrial Machinery & Equipment

Sector Industrials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2	2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Bleichroeder LP					I	INTEVAC INC [IVAC]												
(Last) (First) (Middle)				3	3. Date of Earliest Transaction (MM/DD/YYYY)								Director X 10% Owner Officer (give title below) Other (specify below)					
1345 AVENUE OF THE AMERICAS, 47TH FLOOR						3/26/2020								omeer (gr	re title below	,	mer (speemy	ociow)
(Street)						4. If Amendment, Date Original Filed (MM/DD/YYYY)							YY) 6. Inc	6. Individual or Joint/Group Filing (Check Applicable Line)				
NEW YORK, NY 10105 (City) (State) (Zip)														X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
			Tab						•	·	•		Beneficia					
1.Title of Security (Instr. 3) 2. Trans. D				Date	e 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)					Followin	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form:	Beneficial	
								Code	v	Amount	(A) or (D)	Price					or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock 3/26/20				020			s		17175	D	\$4.3983	(1)	4959680			I	See footnote (2)	
Common Stock 3/27/2020				020			s		10325	D	\$4.0871	(1)	4949355			I	See footnote (2)	
	Tak	ole II - De	rivati	ive Seci	uritie	s Ben	eficially	Owned	l (e.g	z., puts,	calls, v	warran	ts, option	s, convei	rtible seci	ırities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	Execu	A. Deemed 4. T (Instance, if any		Acqu Dispo		mber of rative Securities ired (A) or osed of (D) . 3, 4 and 5)		6. Date Exercisable and Expiration Date		Secur Deriv	le and Amounties Underly ative Security 3 and 4)	Underlying Derivative Security Security		Securities Beneficially Owned	Ownership Form of Derivative Security:	(Instr. 4)
	Security				Code	e V	(A)	(D)		ate xercisable	Expiration Date	on Title	Amount or I Shares	Number of		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

Explanation of Responses:

- (1) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions. On 03/26/2020, prices ranged from \$4.35 to \$4.47 per share, inclusive. On 03/27/2020, prices ranged from \$4.00 to \$4.2525 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or to the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (2) This form is filed by Bleichroeder LP. Bleichroeder LP serves as registered investment adviser to 21 April Fund, Ltd., 21 April Fund, LP and other managed accounts that directly hold these securities. Bleichroeder LP disclaims beneficial ownership of these securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that Bleichroeder LP is the beneficial owner of the securities for purposes of Section 16 or for any other purposes. 21 April Fund, Ltd. owns 3,322,615 shares and 21 April Fund, LP owns 851,137 shares respectively of these 4,949,355.00 shares reported in this form.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Bleichroeder LP							
1345 AVENUE OF THE AMERICAS		v					
47TH FLOOR		Λ					
NEW YORK, NY 10105							

Signatures

Michael M. Kellen, Chairman and CO-CEO of Bleichroeder LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.