

# INTEVAC INC Reported by JUSTYN TIMOTHY

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 05/18/20 for the Period Ending 05/15/20

Address	3560 BASSETT STREET
	SANTA CLARA, CA, 95054
Telephone	4089869888
CIK	0001001902
Symbol	IVAC
SIC Code	3559 - Special Industry Machinery, Not Elsewhere Classified
Industry	Industrial Machinery & Equipment
Sector	Industrials
Fiscal Year	12/31

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FORM 4	
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer				
1 0		(Check all applicable)				
JUSTYN TIMOTHY	INTEVAC INC [ IVAC ]					
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	Director 10% Owner				
(Eust) (First) (Findele)		X_ Officer (give title below) Other (specify below)				
		EVP & General Manager,				
3560 BASSETT STREET	5/15/2020	Evi & General Manager,				
3560 BASSETT STREET (Street)	5/15/2020	6. Individual or Joint/Group Filing (Check Applicable Line)				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	(Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form:	7. Nature of Indirect Beneficial
			Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock	5/15/2020		F		1860	D	\$5.07	102505	D	
Common Stock	5/15/2020		F		1007	D	\$5.07	101498	D	
Common Stock	5/15/2020		F		930	D	\$5.07	100568	D	
Common Stock	5/15/2020		F		1736	D	\$5.07	98832	D	
Common Stock	5/15/2020		F		124	D	\$5.07	98708	D	
Common Stock	5/15/2020		Α		28846 <u>(1)</u>	Α	\$0.0	127554	D	
Table II	- Derivative Securities	Beneficially	v Owned (	e.g.,	puts, ca	lls, war	rants.	, options, convertible securities)	•	

1. Title of Derivate Security		 3A. Deemed Execution	4. Trans. ( (Instr. 8)				<ol> <li>Date Exer Expiration I</li> </ol>				8. Price of Derivative	9. Number of derivative	10. Ownership	11. Nature of Indirect
	or Exercise Price of	Date, if any	(,		Acquired ( Disposed of	A) or of (D)	1		Deriv	ative Security	Security	Securities Beneficially	Form of Derivative	Beneficial Ownership
	Derivative Security		Code	v	(Instr. 3, 4 (A)	and 5) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Following	Security: Direct (D) or Indirect (I) (Instr. 4)	

## **Explanation of Responses:**

(1) These are restricted stock units awarded to the Reporting Person and each restricted stock unit represents a contingent right to receive one share of IVAC common stock. The restricted stock units vest in four equal installments upon the Reporting Person's completion of each year of service over the four-year period measured from vesting commencement date.

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
JUSTYN TIMOTHY							
3560 BASSETT STREET			EVP & General Manager,				
SANTA CLARA, CA 95054							

## Signatures

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5/18/2020 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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