| FORM 4 | |
|--------|--|
|--------|--|

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |
|--|---|--|
| 1 0 | | (Check all applicable) |
| DURY DAVID S | INTEVAC INC [IVAC] | |
| (Last) (First) (Middle) | 3. Date of Earliest Transaction (MM/DD/YYYY) | X_Director10% Owner |
| | | Officer (give title below) Other (specify below) |
| 3560 BASSETT STREET | 5/20/2021 | |
| (Street) | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | 6. Individual or Joint/Group Filing (Check Applicable Line) |
| SANTA CLARA, CA 95054 (City) (State) (Zip) | | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | | | | · · | | | | | | | | | |
|-----------------------------------|----------------|------------------------------|---|--------------------------|---------------|--|-------|--|--------------------|--|-----------------------------------|--------------------------|---|
| 1.Title of Security (Instr. 3) | 2. Trans. Date | 3. Trans. Code (Instr. 8) | | r. 8) or Disposed of (D) | |) or Disposed of (D) Following Reported Transaction(s) | | | or Disposed of (D) | | Following Reported Transaction(s) | 6. Ownership Form: | Nature of Indirect Beneficial |
| | | Code | v | Amount | (A) or (D) | Price | | Direct (D) or Indirect (I) (Instr. 4) | | | | | |
| Common Stock | 5/20/2021 | Α | | 9000 <u>(1)</u> | Α | \$0.0 | 85000 | D | | | | | |
| Common Stock | | | | | | | 66000 | I | by Trust | | | | |
| | | | | | | | | | | | | | |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | | | , | 0,1 | , | | , I , | | , | | |
|----------------------|-------------|-----------|--------------|-------------|------|--------------|------------|--------------|-------------|-------------|-------------------------------|-------------|----------------------|-------------------------------|-------------|
| 1. Title of Derivate | 2. | 3. Trans. | 3A. Deemed | 4. Trans. 0 | Code | 5. Number | of | 6. Date Exer | cisable and | 7. Tit | le and Amount of | 8. Price of | 9. Number of | 10. | 11. Nature |
| Security | Conversion | Date | Execution | (Instr. 8) | | Derivative | Securities | Expiration I | Date | Secur | tities Underlying | Derivative | derivative | Ownership | of Indirect |
| (Instr. 3) | or Exercise | | Date, if any | | | Acquired (| A) or | | | Deriv | ative Security | Security | Securities | Form of | Beneficial |
| | Price of | | | | | Disposed o | of (D) | | | (Instr | . 3 and 4) | (Instr. 5) | Beneficially | Derivative | Ownership |
| | Derivative | | | | | (Instr. 3, 4 | and 5) | | | | | | Owned | Security: | (Instr. 4) |
| | Security | | | | | | | | | | | | | Direct (D) | |
| | | | | | | | | Date | Expiration | T 11 | Amount or Number of Shares | | · r · · · · · | or Indirect | |
| | | | | | | | | Exercisable | Date | little | Shares | | Transaction(s) | (I) (Instr. | |
| | | | | Code | V | (A) | (D) | | | | | | (Instr. 4) | 4) | |

Explanation of Responses:

(1) Each share of IVAC common stock is represented by a restricted stock unit ("RSU"). The RSUs vest upon the Reporting Person's completion of one year of service measured from the vesting commencement date.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| DURY DAVID S | | | | | | | |
| 3560 BASSETT STREET | Χ | | | | | | |
| SANTA CLARA, CA 95054 | | | | | | | |

Signatures

| By: Diane Garibaldi For: David Dury | | 5/21/2021 |
|-------------------------------------|---|-----------|
| | - | |

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.