

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol								1	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Moniz James P					INTEVAC INC [ IVAC ]														
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)										Director 10% Owner				
														X_ Officer (give title below) Other (specify below)					
3560 BASSETT STREET						5/20/2021									Chief Financial Officer,				
(Street)					4. If Amendment, Date Original Filed (MM/DD/YYYY)								D/YYY	6. Individual or Joint/Group Filing (Check Applicable Line)					
SANTA CLARA, CA 95054														X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
(C	City) (Sta	te) (Zi	p)																
			Table I	- Non-l	Deri	vati	ve Secu	rities Ac	quir	ed, Di	spos	ed of	f, or	Be	eneficially Owne	d			
1. Title of Security (Instr. 3)			. Trans. D			Deemed ation if any	3. Trans. Co (Instr. 8)	de	or Disp		rities Acquired (A osed of (D) 5, 4 and 5)		_	5. Amount of Securiti Following Reported T (Instr. 3 and 4)	ties Beneficially Owned Transaction(s)		Ownership Form:	Beneficial	
								Code	v	Amou		(A) or (D)	Pri	ce					Ownership (Instr. 4)
Common Stock 5/20/202				1			A		31304	<u>(1)</u>	A	\$0.	.0	1	67348		D		
Common Stock														1	10000		I	by Trust	
	Tab	le II - Dei	rivative	Securiti	ies E	Bene	eficially	Owned (	e.g.,	puts,	calls	, wa	rran	ts,	options, conver	tible secı	ırities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Exe	3A. Deem Execution Date, if ar	(Instr			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		•					s Underlying ve Security		9. Number of derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Co	Code V		(A)	(D)	Date Exer	cisable	Expira Date	ation	Title		mount or Number of nares		Reported (Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)	

## **Explanation of Responses:**

(1) These are restricted stock units awarded to the Reporting Person and each restricted stock unit represents a contingent right to receive one share of IVAC common stock. The restricted stock units vest in four equal installments upon the Reporting Person's completion of each year of service over the four-year period measured from vesting commencement date.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Moniz James P									
3560 BASSETT STREET			Chief Financial Officer,						
SANTA CLARA, CA 95054									

### **Signatures**

By: Diane Garibaldi For: James Moniz

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.