

# INTEVAC INC Filed by POND NORMAN H



Filed 02/12/01

Address	3560 BASSETT STREET
	SANTA CLARA, CA, 95054
Telephone	4089869888
CIK	0001001902
Symbol	IVAC
SIC Code	3559 - Special Industry Machinery, Not Elsewhere Classified
Industry	Industrial Machinery & Equipment
Sector	Industrials
Fiscal Year	12/31

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# INTEVAC INC

### FORM SC 13G/A (Amended Statement of Ownership)

### Filed 2/12/2001

Address	356O BASSETT ST
	SANTA CLARA, California 95054
Telephone	408-986-9888
СІК	0001001902
Industry	Computer Storage Devices
Sector	Technology
Fiscal Year	12/31

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

### **SCHEDULE 13G**

#### **UNDER THE SECURITIES EXCHANGE ACT OF 1934**

(AMENDMENT NO. 4)

## INTEVAC, INC.

(NAME OF ISSUER)

**COMMON STOCK** 

(TITLE OF CLASS OF SECURITIES)

461148 10 8

(CUSIP NUMBER)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing of this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

SEC 1745 (2-95)

Page 1 of 6 Pages

1	NAME OF REPORTI S.S. OR I.R.S.	NG PEF				
NORMAN HUGH POND AND NATALIE POND TRUST DTD 12/23/80 POND 1996 CHARITABLE REMAINDER UNITRUST NORMAN H. POND AS AN INDIVIDUAL NATALIE POND AS AN INDIVIDUAL						
2 CHECK THE APPROF		PRIATE	E BOX IF A MEMBER OF A GROUP*			
				(a) (b)		
3	SEC USE ONLY					
4	CITIZENSHIP OR	PLACE	OF ORGANIZATION			
USA						
		5	SOLE VOTING POWER**			
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH			220,833			
		6	SHARED VOTING POWER**			
			884,691			
		7	SOLE DISPOSITIVE POWER**			
			220,833			
		8	SHARED DISPOSITIVE POWER**			
			884,691			
9	AGGREGATE AMOUN	T BENE	EFICIALLY OWNED BY EACH REPORTING PERSO	)N		
	1,105,524					
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*					
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9					
	9.2%					
12	TYPE OF REPORTING PERSON*					
	IN, 00					

\*\*See explanation in Item 4.

\*SEE INSTRUCTION BEFORE FILLING OUT

ITEM 1.

(a) NAME OF ISSUER

Intevac, Inc.

(b) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES

3560 Bassett Street Santa Clara, CA 95054-2704

ITEM 2.

(a) NAME OF PERSON FILING

Norman Hugh Pond and Natalie Pond Trust DTD 12/23/80 Pond 1996 Charitable Remainder Unitrust Norman H. Pond as an individual Natalie Pond as an individual

(b) ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE

11635 Jessica Lane Los Altos, CA 94024

(c) CITIZENSHIP

Norman H. Pond and Natalie Pond are U.S. citizens.

(d) TITLE OF CLASS OF SECURITIES

Common Stock

(e) CUSIP NUMBER

461148 10 8

ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO RULE 13d-1(b), OR 13d-2(b), CHECK WHETHER THE PERSON IS A:

Not applicable.

#### ITEM 4. OWNERSHIP

(a) AMOUNT BENEFICIALLY OWNED

1,105,524\*

(b) PERCENT OF CLASS

9.2%

- (c) NUMBER OF SHARES AS TO WHICH SUCH PERSON HAS:
  - (i) SOLE POWER TO VOTE OR TO DIRECT THE VOTE: 220,833\*
  - (ii) SHARED POWER TO VOTE OR TO DIRECT THE VOTE: 884,691+
  - (iii) SOLE POWER TO DISPOSE OR DIRECT THE DISPOSITION OF: 220,833\*

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\*INCLUDES 163,333 SHARES ISSUABLE UPON THE EXERCISE BY NORMAN H. POND OF STOCK OPTIONS TO PURCHASE SHARES OF COMMON STOCK WHICH ARE EXERCISABLE WITHIN 60 DAYS OF DECEMBER 31, 2000 AND 40,000 SHARES HELD IN THE FORM OF A CONVERTIBLE PROMISSORY NOTE.

+INCLUDES 818,100 SHARES HELD IN THE NORMAN HUGH POND AND NATALIE POND TRUST DTD 12/23/80, 37,500 SHARES HELD IN THE POND 1996 CHARITABLE REMAINDER UNITRUST AND 29,091 SHARES HELD IN THE FORM OF A CONVERTIBLE PROMISSORY NOTE. SHARED POWER HELD BY BOTH TRUSTESS IN BOTH TRUSTS.

- ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS Not applicable.
- ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Not applicable.

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

Not applicable.

- ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP Not applicable.
- ITEM 9. NOTICE OF DISSOLUTION OF GROUP

Not applicable.

ITEM 10. CERTIFICATION

Not applicable.

#### SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief,  ${\tt I}$  certify that the information set forth in this statement is true, complete and correct.

Date: February 12, 2001

Norman Hugh Pond and Natalie Pond Trust DTD  $12/23/80\,$ 

By: /s/ Norman H. Pond Norman H. Pond, Trustee

By: /s/ Natalie Pond Natalie Pond, Trustee

Pond 1996 Charitable Remainder Unitrust

By: /s/ Norman H. Pond Norman H. Pond, Trustee

By: /s/ Natalie Pond Natalie Pond, Trustee

/s/ Norman H. Pond

Norman H. Pond, individually

/s/ Natalie Pond

Natalie Pond, individually

AGREEMENT RELATING TO JOINT FILING OF SCHEDULE 13G

The undersigned hereby agree that a single Schedule 13G (or any amendment thereto) in relation to the Common Stock of Intevac, Inc. shall be filed on behalf of each of the undersigned and that this Agreement shall be filed as an Exhibit to such Schedule 13G.

This Agreement and the filing of the Schedule 13G shall not be construed to be an admission that any of the undersigned is a member of a "group" consisting of one or more of such persons pursuant to Section 13(g) of the Securities Exchange Act of 1934, as amended and the rules thereunder.

Date: February 12, 2001

Norman Hugh Pond and Natalie Pond Trust DTD  $12/23/80\,$ 

By: /s/ Norman H. Pond Norman H. Pond, Trustee

By: /s/ Natalie Pond Natalie Pond, Trustee

Pond 1996 Charitable Remainder Unitrust

By: /s/ Norman H. Pond Norman H. Pond, Trustee

By: /s/ Natalie Pond Natalie Pond, Trustee

/s/ Norman H. Pond Norman H. Pond, individually

/s/ Natalie Pond

Natalie Pond, individually

**End of Filing** 

