

# INTEVAC INC Filed by FIRST EAGLE INVESTMENT MANAGEMENT, LLC

# FORM SC 13G/A (Amended Statement of Ownership)

## Filed 09/05/19

Address 3560 BASSETT STREET

SANTA CLARA, CA, 95054

Telephone 4089869888

CIK 0001001902

Symbol IVAC

SIC Code 3559 - Special Industry Machinery, Not Elsewhere Classified

Industry Industrial Machinery & Equipment

Sector Industrials

Fiscal Year 12/31

### UNITED STATES SECURITIES AND

**EXCHANGE COMMISSION** Washington, D.C. 20549

Schedule 13G/A

**Under the Securities Exchange Act of 1934** 

(Amendment No. 14)

(RULE 13d-102)

Information to be included in statements filed pursuant to Rule 13d-1 (b) (c) and (d) and Amendments thereto filed pursuant to Rule 13d-2 (b).

### Intevac, Inc.

(Name of Issuer)

Common shares (Title of Class of Securities)

461148108 (CUSIP/SEDOL Number)

September 1, 2019 (Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

[X] Rule 13d-1 (b)

[X] Rule 13d-1 (c)

[] Rule 13d-1 (d)

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

# SCHEDULE 13G/A Issuer: Intevac, Inc. CUSIP No.: 461148108 1 NAMES OF REPORTING PERSONS I.R.S.

#### IDENTIFICATION NOS. OF ABOVE PERSONS

First Eagle Investment Management, LLC

Tax ID # 57-1156902

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a)

(b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

#### State of Delaware

NUMBER OF SHARES 5 SOLE VOTING POWER - 0
BENEFICIALLY 6 SHARED VOTING POWER - 0
OWNED BY EACH 7 SOLE DISPOSITIVE POWER - 0
REPORTING PERSON 8 SHARED DISPOSITIVE POWER - 0

#### WITH:

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

U

10 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES

N/A

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9:

0.00%

12 TYPE OF REPORTING PERSON

SCHEDULE 13G/A
Issuer: Intevac, Inc. CUSIP No.: 461148108
ITEM 1
(a) Name of Issuer: Intevac, Inc.
(b) Address of Issuer's Principal Executive Offices:
3560 Bassett Street Santa Clara, CA 95054
ITEM 2
(a) Name of Person Filing: First Eagle Investment Management, LLC
(b) Address of Principal Business Office:
1345 Avenue of the Americas New York, NY 10105
(c) Citizenship: Delaware, USA
(d) Title of Class of Securities:
Common Stock
(e) CUSIP No.: 461148108
ITEM 3
If this statement is filed pursuant to Sections 240. 13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a:
(a) [] Broker or dealer registered under Section 15 of the Act (15 U.S.C. 780);
(b) [] Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
(c) [] Insurance Company as defined in section 3(a)(19) of the Act (15 U.S.C. 78C);
(d) [] Investment company registered under Section 8 of the Investment Company Act if 1940 (15 U.S.C. 80a-8);
(e) [X] An investment adviser in accordance with Section 240.13d-1(b)(1)(ii)(E);
(f) [] An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F);
(g) [] A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G);

(i) [] A church plan that is excluded from the definition of an insurance company under Section 3 (c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-

(h) [] A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

(j) [ ] Group, in accordance with section 240.13d-1 (b)(1)(ii)(J).

#### **SCHEDULE 13G/A**

Issuer: Intevac, Inc. CUSIP No.: 461148108

#### ITEM 4. Ownership.

N/A

#### ITEM 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following: [x]

On September 1, 2019, the family office personnel of First Eagle Investment Management, LLC ("FEIM"), including Messrs. Michael M. Kellen and Andrew Gundlach, separated their investment management business from that of FEIM, transferring their family's advisory client accounts, including the securities of Intevac, Inc. which were formerly controlled by FEIM, to the newly formed U.S. registered advisory firm named Bleichroeder LP. Accordingly, as of September 1, 2019, (i) neither FEIM nor any FEIM account maintains any discretionary or proxy voting authority or pecuniary interest with respect to any securities of Intevac, Inc. and (ii) FEIM could not be deemed to beneficially own any securities of Intevac, Inc.

#### ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON

N/A

# ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY OR CONTROL PERSON.

N/A

#### ITEM 8. Identification and Classification of Members of the Group.

N/A

#### ITEM 9. Notice of Dissolution of Group

N/A

#### ITEM 10. Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of such securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose of effect.

#### SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: September 4, 2019
Signature: /s/ David O'Connor

Name/Title: David O'Connor, Senior Vice President