# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

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CURRENT REPORT
Pursuant to Section 13 or 15(d) of
The Securities Exchange Act of 1934

July 12, 2023

Date of Report (date of earliest event reported)

## INTEVAC, INC.

(Exact name of Registrant as specified in its charter)

State of Delaware (State or other jurisdiction of incorporation or organization) 0-26946 (Commission File Number) 94-3125814 (IRS Employer Identification Number)

3560 Bassett Street Santa Clara, CA 95054 (Address of principal executive offices)

(408) 986-9888 (Registrant's telephone number, including area code)

 $\label{eq:NA} N/A$  (Former name or former address if changed since last report)

	ck the appropriate box below if the Form 8-K filing is owing provisions:	intended to simultaneously satisfy the filing	ng obligation of the registrant under any of the			
	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)					
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)					
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))					
	Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))					
Seci	urities registered pursuant to Section 12(b) of the Act:					
	Title of each class	Trading Symbol(s)	Name of each exchange on which registered			
	Common Stock (\$0.001 par value)	IVAC	The Nasdaq Stock Market LLC (Nasdaq) Global Select			

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company □

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

#### **Explanatory Note**

This Current Report on Form 8-K/A (this "Amendment") amends the Current Report on Form 8-K originally filed with the U.S. Securities and Exchange Commission by Intevac, Inc. (the "Company") on July 18, 2023 (the "Original Report"). As previously reported in the Original Report, on July 14, 2023, the Company's Board of Directors ("the Board") appointed Kevin H. Soulsby as interim Chief Financial Officer. The sole purpose of this Amendment is to disclose changes to Mr. Soulsby's compensation as a result of such appointment.

## Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On August 16, 2023, the Board increased Mr. Soulsby's base salary to \$250,000 per year and granted Mr. Soulsby a special restricted stock unit ("RSU") award of 30,000 time-based RSUs, to vest on the earlier of (1) the date on which a new, full-time Chief Financial Officer commences employment with the Company and (2) August 15, 2024. Mr. Soulsby will also continue to be eligible to participate in all employee benefit programs that are applicable to executives in his position.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

INTEVAC, INC.

Date: August 22, 2023 /s/ KEVIN SOULSBY

Kevin Soulsby

Interim Chief Financial Officer and Treasurer