

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Moniz James P					INTEVAC INC [ IVAC ]												
				3. Date of Earliest Transaction (MM/DD/YYYY)							Director						
												~	Officer (give title below) Other (specify below) Chief Financial Officer,				
3560 BASSETT STREET						5/20/2021							Chief Financ	iai Oilice	г,		
	(Stree	et)			4. If	Ame	endmei	nt, Date O	rigin	nal File	d (MM/D	D/YYY	Y) 6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
SANTA CLARA, CA 95054												X Form filed by	_X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)					Rule 10b5-1(c) Transaction Indication												
					☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan											en plan	
					that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.											•	
			Table	I - Non-I	<b>)</b> eri	vativ	e Secu	rities Acq	uire	ed, Di	posed o	f, or l	Beneficially Owne	ed			
1. Title of Security (Instr. 3) 2. Trans.			2. Trans. Da	Ex		eemed tion f any	3. Trans. Co. (Instr. 8)	de	4. Securities Acqu or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securit Following Reported (Instr. 3 and 4)	ties Beneficially Owned Transaction(s)		6. Ownership Form: Direct (D)	Beneficial Ownership	
								Code	V	Amou	(A) or (D)	Pric	e			or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock				5/20/2021				A		10434	<u>1)</u> A	\$0.0	)	183700		D	
				5/22/2023	5/22/2023		F		3229	D	\$5.1	273133		D			
Common Stock														25000		I	by Trust
	Tabl	e II - Der	ivative	Securiti	es B	Benef	icially	Owned (	e.g.,	puts,	alls, wa	rrant	s, options, conve	tible secu	ırities)		
			on (Instr					and	and Expiration Date Se Do (In			Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported	Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Co	de	V	(A)	(D)	Date	e rcisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	

### **Explanation of Responses:**

(1) The shares subject to this performance award were granted, with a two-year performance period beginning in May 2021. The number of PRSUs that vested was determined by our common stock achieving a certain Total Shareholder Return (TSR) for the Company, relative to the TSR of the specified peer group over a measurement period of two years from the time of grant.

### **Reporting Owners**

Penarting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Moniz James P									
3560 BASSETT STREET			Chief Financial Officer,						
SANTA CLARA, CA 95054									

#### **Signatures**

By: Diane Garibaldi For: James Moniz

5/22/2023

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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