

# INTEVAC INC

## **FORM 8-K** (Current report filing)

Filed 01/15/04 for the Period Ending 01/15/04

Address	3560 BASSETT STREET SANTA CLARA, CA, 95054
Telephone	4089869888
CIK	0001001902
Symbol	IVAC
SIC Code	3559 - Special Industry Machinery, Not Elsewhere Classified
Industry	Industrial Machinery & Equipment
Sector	Industrials
Fiscal Year	12/31

## Table of Contents

**SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT  
Pursuant to Section 13 or 15(d) of  
The Securities Exchange Act of 1934**

January 15, 2004

Date of Report (date of earliest event reported)

**INTEVAC, INC.**

---

(Exact name of Registrant as specified in its charter)

**State of California**  
(State or other jurisdiction  
of incorporation or organization)

**0-26946**  
(Commission File Number)

**94-3125814**  
(IRS Employer  
Identification Number)

**3560 Bassett Street  
Santa Clara, CA 95054**

(Address of principal executive offices)

**(408) 986-9888**

(Registrant's telephone number, including area code)

N/A

---

(Former name or former address if changed since last report)

---

**TABLE OF CONTENTS**

Item 12. Results of Operations and Financial Condition  
INDEX TO EXHIBITS  
EXHIBIT 99.1

---

## **Table of Contents**

### **Item 12. Results of Operations and Financial Condition**

On January 15, 2004, Intevac, Inc. issued a press release reporting its preliminary financial results for the quarter ended December 31, 2003. A copy of the press release issued by Intevac, Inc. concerning the foregoing results is furnished herewith as Exhibit 99.1 and is incorporated herein by reference.

This information shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the “Exchange Act”), or incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

---

## Table of Contents

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

INTEVAC, INC.

Date: January 15, 2004

By: /S/ CHARLES B. EDDY III

---

Charles B. Eddy III  
Chief Financial Officer

---

**INDEX TO EXHIBITS**

<b>Exhibit Number</b>	<b>Description</b>
99.1	Press release, dated January 15, 2004, entitled "Intevac Announces Preliminary Fourth Quarter Financial Results"

## EXHIBIT 99.1

Intevac 3560 Bassett Street, Santa Clara CA 95054

Charles Eddy Dan Matsui/Eugene Heller Chief Financial Officer Silverman Heller Associates  
(408) 986-9888 (310) 208-2550 dmatsui@sha-ir.com

### INTEVAC ANNOUNCES PRELIMINARY FOURTH QUARTER FINANCIAL RESULTS

SANTA CLARA, CALIF. -- JANUARY 15, 2004 -- Intevac, Inc. (Company) (Nasdaq: IVAC), today announced preliminary financial results for the quarter ending December 31, 2003.

Based on a preliminary analysis of our results of operations for the three-month period ending December 31, 2003, we believe our fourth quarter: revenue will be in the range of \$11.6 million to \$12.1 million; gross margin will be in the range of 37% to 40%; and net loss will be in the range of \$0.5 million to \$1.0 million. Additionally, backlog is expected to grow from \$24 million at the end of the third quarter to a range of \$42 million to \$44 million at the end of the fourth quarter. These results are preliminary, based upon partial information and management assumptions, have not been audited and constitute forward-looking statements pursuant to the Private Securities Litigation Reform Act of 1995. Actual results for the quarter could differ, depending on a number of factors, including accounting adjustments made during the course of closing the year and completing the audit.

#### ABOUT INTEVAC

Intevac is the world's leading supplier of disk sputtering equipment for the thin-film disk industry and a developer of leading technology for extreme low light imaging sensors, cameras and systems.

#### SAFE HARBOR STATEMENT

This press release includes statements that constitute "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995 (the "Reform Act"). Intevac claims the protection of the safe-harbor for forward-looking statements contained in the Reform Act. These forward-looking statements are often characterized by the terms "may," "believes," "projects," "expects," or "anticipates," and do not reflect historical facts. Specific forward-looking statements contained in this press release include projections of revenue, gross margin, net loss and order backlog. The forward-looking statements contained herein involve risks and uncertainties that could cause actual results to differ materially from the Company's expectations, including accounting adjustments made during the course of closing the year and

- more -

completing the audit. These risks and other factors are detailed the Company's regular filings with the Securities and Exchange Commission.