

# **INTEVAC INC**

# Reported by **21 APRIL FUND, LTD.**

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 02/05/18 for the Period Ending 02/01/18

Address 3560 BASSETT STREET

SANTA CLARA, CA, 95054

Telephone 4089869888

CIK 0001001902

Symbol IVAC

SIC Code 3559 - Special Industry Machinery, Not Elsewhere Classified

Industry Industrial Machinery & Equipment

Sector Industrials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2.	2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
First Eagle Investment Management, LLC					IN	INTEVAC INC [ IVAC ]							Direct			10% Owner	
(Last)					3.	3. Date of Earliest Transaction (MM/DD/YYYY)							Office	r (give title belo	w)C	other (specify	below)
1345 AVENUE OF THE AMERICAS, 48TH FLOOR						2/1/2018											
(Street)						4. If Amendment, Date Original Filed (MM/DD/YYYY)							(Y) 6. Individ	6. Individual or Joint/Group Filing (Check Applicable Line)			
NEW YORK, NY 10105 (City) (State) (Zip)														Form filed by One Reporting Person  X Form filed by More than One Reporting Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1.Title of Security (Instr. 3)				2. Trans. Dat		2A. Deemed Execution Date, if any	ion	3. Trans. Code (Instr. 8)		4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)				(Instr. 3 and 4) Fo		Ownership Form:	m: Beneficial
								Code	V	Amount	(A) or (D)	Price	:			Direct (D) or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock (1) 2/				2/1/2	2/1/2018			P		400	A	\$6.475	0	4184674 (2)		I	See Footnote
Common Stock 2/2/				2/2/2	2018			P		35208	A	\$6.588	5	4219882		I	See Footnote (4)
Common Stock 2/2/201				2018			P		10300	A	\$6.475	7	4230182		I	See Footnote (5)	
	Tabl	e II - Der	ivative	e Secu	ırities	Benef	ficially	Owned (	e.g.	, puts,	calls, w	arrar	its, options, co	nvertible se	curities)		
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Dec Executi Date, if	ion	4. Trans (Instr. 8)	Acquir Dispos				Derivat			e and Amount of ities Underlying ative Security 3 and 4)	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security				Code	de V	(A)	(D)	Dat Exe	e ercisable	Expiration Date	Title	Amount or Number Shares	r of	Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) This Form 4 is filed by 21 April Ltd. ("April Ltd."), First Eagle Investment Management, LLC ("FEIM"), First Eagle Value in Biotechnology Master Fund, Ltd. ("FEVIBM") and First Eagle Holdings, Inc. ("FEHI" and, collectively with April Ltd., FEIM and FEVIBM, the "Entities"). The Entities disclaim status as a "group" for purposes of this Form 4.
- (2) The shares of Common Stock reported herein are indirectly beneficially owned by FEHI and FEIM, a Delaware limited liability company and an investment adviser registered under the Investment Advisers Act of 1940. FEIM is (i) the registered investment adviser to FEVIBM as well as to April Ltd. (collectively, the "Funds"), (ii) a subsidiary of FEHI and (iii) investment adviser to certain separately managed accounts which, together with certain separate accounts managed by Michael M. Kellen in his individual capacity holding shares reported by FEIM for which FEIM disclaims beneficial ownership are defined herein as the "Separately Managed Accounts". FEHI disclaims Section 16 beneficial ownership of the shares held directly by the Funds except to the extent, if any, of its pecuniary interest therein, and this report shall not be deemed an admission that FEHI is the Section 16 beneficial owner of any such securities.
- (3) Represents 2,677,471, 299,662 and 1,207,541 shares held directly by 21 April Ltd., FEVIBM and the Separately Managed Accounts, respectively. FEHI disclaims Section 16 beneficial ownership of the shares held directly by the Funds except to the extent, if any, of its pecuniary interest therein, and this report shall not be deemed an admission that FEHI is the Section 16 beneficial owner of any such securities.
- (4) Represents 2,708,524, 299,662 and 1,211,696 shares held directly by 21 April Ltd., FEVIBM and the Separately Managed Accounts, respectively. FEHI disclaims Section 16 beneficial ownership of the shares held directly by the Funds except to the extent, if any, of its pecuniary interest therein, and this report shall not be deemed an admission that FEHI is the Section 16 beneficial owner of any such securities.
- (5) Represents 2,717,609, 299,662 and 1,212,911 shares held directly by 21 April Ltd., FEVIBM and the Separately Managed Accounts, respectively. FEHI disclaims Section 16 beneficial ownership of the shares held directly by the Funds except to the extent, if any, of its pecuniary interest therein, and this report shall not be deemed an admission that FEHI is the Section 16 beneficial owner of any such securities.

#### **Reporting Owners**

Reporting Owners					
Reporting Owner Name / Address	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
First Eagle Investment Management, LLC					
1345 AVENUE OF THE AMERICAS		X			
48TH FLOOR					
NEW YORK, NY 10105					
21 APRIL FUND, LTD.					
CITCO FUND SERVICES (CAYMAN ISLANDS) LTD		X			
REGATTA OFFICE PARK WEST BAY ROAD					
GRAND CAYMAN, E9 KY1-1205					
First Eagle Value in Biotechnology Master Fund, Ltd.					
CITCO FUND SERVICES (CAYMAN ISLANDS) LTD		X			
REGATTA OFFICE PARK WEST BAY ROAD		Λ			
GRAND CAYMAN, E9 KY1-1205					
First Eagle Holdings, Inc.					
1345 AVENUE OF THE AMERICAS		X			
NEW YORK, NY 10105					
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#### **Signatures**

FIRST EAGLE INVESTMENT MANAGEMENT, LLC, By: /s/ Michael M. Kellen, Director					
** Signature of Reporting Person					
FIRST EAGLE INVESTMENT MANAGEMENT, LLC, Investment Adviser of 21 April Ltd. and FEVIBM, By: /s/ Michael M. Kellen, Director					
** Signature of Reporting Person	Date				
FIRST EAGLE HOLDINGS, INC., By: /s/ Michael M. Kellen, Director					
** Signature of Reporting Person	Date				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.