

# INTEVAC INC

# Reported by **BLONIGAN WENDELL THOMAS**

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 05/18/20 for the Period Ending 05/15/20

Address 3560 BASSETT STREET

SANTA CLARA, CA, 95054

Telephone 4089869888

CIK 0001001902

Symbol IVAC

SIC Code 3559 - Special Industry Machinery, Not Elsewhere Classified

Industry Industrial Machinery & Equipment

Sector Industrials

Fiscal Year 12/31



subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *				2. ]	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Planigan W	andall T	homos		IN	TF	EVAC	INC L	VAC	$^{\circ}$ 1				(Check all ap)	piicable)			
Blonigan Wendell Thomas					INTEVAC INC [ IVAC ]								X Director 10% Owner				
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)							X Officer (give title below) Other (specify below)					
							<b>=</b> /:	15/20	20				President &			iiei (opeeii)	001011)
3560 BASSETT STREET								15/2(									
	(St	reet)		4. ]	If A	mendme	ent, Date	Origir	nal Fi	led (MM	/DD	)/YYYY	6. Individual	or Joint/G	roup Filing	(Check Appl	licable Line)
SANTA CI	ADA C	A 05054											V F 61.41	O D	*: D		
SANTA CLARA, CA 95054												Form filed by	X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(1	City) (S	tate) (Zi	p)														
			Tabla I -	Non-Dor	·ivo	tiva Sac	urities A	canir	ad D	ienosoc	l of	or R	eneficially Own	ad			
1.Title of Security				Trans. Date			3. Trans. C	_		_					ally Ownad	6.	7. Nature
(Instr. 3)				Hans. Date	Exe	Execution	(Instr. 8)	oue	or Dis	curities Acquired (A) sposed of (D)		icu (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)			Ownership	of Indirect
					Date, if any	e, if any			(Instr. 3, 4 and 5)		5)		(Instr. 3 and 4)				Beneficial Ownership
																	(Instr. 4)
							Code	V	Amo	unt (A		Price				(I) (Instr. 4)	
Common Stock			5	5/15/2020			F	· ·	495			\$5.07		273888		D D	
Common Stock			5	5/15/2020			F		495	8 1	)	\$5.07		268930		D	
Common Stock 5/15/20				5/15/2020	)		F		495	8 1	)	\$5.07		263972		D	
Common Stock 5/15/20				5/15/2020	)		F		495	8 1	)	\$5.07	259014			D	
Common Stock 5/15/202				5/15/2020	,		A		88757	<u>(1)</u>	1	\$0.0	347771		D		
Common Stock 5/15/202				5/15/2020	M 10000 A \$0.0 357771			D									
	Ta	ble II - De	rivative S	ecurities	Ben	neficially	y Owned	(e.g.,	puts.	calls,	wai	rrants	, options, conve	rtible sec	urities)		
1. Title of Derivate		3. Trans.		d 4. Trans.	Derivative				ration Date Securitie				and Amount of			10.	11. Nature
Security (Instr. 3)	Conversion or Exercise	Date	Execution Date, if any	Code (Instr. 8)				Expira					Security Security		Securities Beneficially	Form of Derivative	of Indirect Beneficial Ownership
(mstr. 5)	Price of		Dute, if any	(msu. o)			of (D)					(Instr. 3					
	Derivative Security						4 and 5)								Owned Following	Security: Direct (D)	(Instr. 4)
					V	(A)		Date		Expiration		Title	Amount or Number of		Reported	or Indirect	
				Code			(D) Exer		sable	Date		Title	Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Restricted Stock Units	\$0.0	5/15/2020		М		()	10000	5/19/2	017 (1)	<u>(2)</u>		Commo		\$0.0	0	D	

#### **Explanation of Responses:**

- (1) These are restricted stock units awarded to the Reporting Person and each restricted stock unit represents a contingent right to receive one share of IVAC common stock. The restricted stock units vest in four equal installments upon the Reporting Person's completion of each year of service over the four-year period measured from vesting commencement date.
- (2) Vested shares will be delivered to the Reporting Person as soon as possible after each vesting date.

#### Reporting Owners

D ( O N /A11		Relationships							
Reporting Owner Name / Addres	Director	10% Owner	Officer	Other					
Blonigan Wendell Thomas 3560 BASSETT STREET SANTA CLARA, CA 95054	x		President & CEO						

#### **Signatures**

By: Diane Garibaldi For: Wendell Blonigan

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.